

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 92931 / September 10, 2021

WHISTLEBLOWER AWARD PROCEEDING
File No. 2021-89

In the Matter of the Claim for an Award

in connection with

Redacted

Notice of Covered Action ^{Redacted}

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination in connection with the above-referenced Covered Action (the “Covered Action”) recommending that ^{Redacted} (“Claimant”) receive a whistleblower award in the amount of ^{***} percent (^{***} %) of the monetary sanctions collected for a payout of more than \$400,000. Claimant provided written notice of Claimant’s decision not to contest the Preliminary Determination.¹

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.²

Redacted

¹ The Preliminary Determination of the CRS also recommended denying an award to a second claimant, who did not submit a request for reconsideration. Accordingly, the preliminary denial of the second claimant’s award application has become the Final Order of the Commission pursuant to Exchange Act Rule 21F-10(f), 17 C.F.R. § 240.21F-10(f).

² See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

Redacted

Redacted

Claimant, a harmed investor, provided information that prompted the opening of the investigation and thereafter provided substantial ongoing assistance throughout the course of the investigation, meeting with Commission staff in person, communicating with staff dozens of times, and providing the information that led to the recovery of the sole assets used to compensate harmed investors. Redacted

Accordingly, it is ORDERED that Claimant shall receive an award of ^{***} percent ^{***} %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary

Redacted